

# Diffusion-controlled metabolism for long-term survival of single isolated microorganisms trapped within ice crystals

Robert A. Rohde and P. Buford Price\*

Department of Physics, University of California, Berkeley, CA 94720

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**Two known habitats for microbial metabolism in ice are surfaces of mineral grains and liquid veins along three-grain boundaries. We propose a third, more general, habitat in which a microbe frozen in ice can metabolize by redox reactions with dissolved small molecules such as CO<sub>2</sub>, O<sub>2</sub>, N<sub>2</sub>, CO, and CH<sub>4</sub> diffusing through the ice lattice. We show that there is an adequate supply of diffusing molecules throughout deep glacial ice to sustain metabolism for >10<sup>5</sup> yr. Using scanning fluorimetry to map proteins (a proxy for cells) and F420 (a proxy for methanogens) in ice cores, we find isolated spikes of fluorescence with intensity consistent with as few as one microbial cell in a volume of 0.16  $\mu$ l with the protein mapper and in 1.9  $\mu$ l with the methanogen mapper. With such precise localization, it should be possible to extract single cells for molecular identification.**

F420 fluorescence | fluorimetry | ice cores | dissolved molecules | single cells

Until recently it was not understood how microorganisms trapped in ice obtain the water, energy via redox reactions, and bioelements such as carbon required for life. Price (1) provided part of the answer. He developed a quantitative picture of how micrometer-sized bacteria and archaea could be swept into veins in a growing ice cap, along with chemical impurities of aeolian origin that are insoluble in the ice. In equilibrium the freezing point of an aqueous solution is lower than that of pure water. In polycrystalline ice the solute ions concentrate at the triple junctions of grains, leading to a vein structure. Price calculated the molarity and the vein diameter as a function of freezing point depression for the concentration of the major solute ions in ice. Vein diameters range from  $\approx 1$   $\mu$ m at  $-50^\circ\text{C}$  to  $\approx 10$   $\mu$ m at  $-4^\circ\text{C}$  in glacial ice. Two disadvantages of this habitat are that large microbes do not fit into veins, and those small enough to fit must adapt to a harsh chemical environment such as sulfuric acid. Nevertheless, for those adapted to such an environment, redox reactions of impurities in the veins yield enough energy to sustain a substantial microbial population.

Junge *et al.* showed that many microorganisms in sea ice occupy brine channels (2) and that many in lake ice occupy veins (3). Mader *et al.* (4) showed that both bacteria and fluorescent beads added to water used to make ice are rejected from the solid phase and incorporated into liquid veins, provided that they are small enough to fit, whereas beads larger than the vein diameter are frozen into solid ice.

Tung *et al.* (5) proposed a second icy habitat, which is afforded by surfaces of mineral grains around which the freezing point of the aqueous solution is depressed within the “hydration distance.” Microbes attached to minerals extract energy in redox reactions with ions in the mineral grain. Wettlaufer (6) accounted for equilibrium undercooling,  $T_m - T$ , as a function of thickness of the “unfrozen” water as the sum of four terms: depression of the freezing point of an ionic solution, an attractive van der Waals contribution, a Debye–Hückel contribution due to charges on the mineral surface, and a Gibbs–Thomson contribution, which is a function of curvature of the walls of any

pores present. In the case of clay grains, the thickness of the unfrozen layer decreases from tens of nanometers at temperatures near  $0^\circ\text{C}$  to  $\approx 0.3$  nm at  $-80^\circ\text{C}$  (7). Experiments (8, 9) have shown that the unfrozen water still has considerable mobility at these low temperatures. Price and Sowers (10) have presented evidence for microbial metabolism at temperatures as low as  $-40^\circ\text{C}$  (water activity  $a_w = 0.72$ ).

Up to 95% of the  $10^8$  to  $10^{10}$  cells per  $\text{cm}^3$  in the basal ice cored from a depth of 3,041–3,054 m in the Greenland Ice Sheet Project 2 (GISP2) are attached to clay grains (5). At four depths, Miteva *et al.*† found that up to  $\approx 85\%$  were alive. Reasoning from their observed linear correlation of the number of cells per grain with grain circumference and from the factor of  $\approx 10^4$  higher electronic conductivity parallel to the basal plane than perpendicular to it in Fe-rich clays (11), Tung *et al.* (5) inferred that the majority of the attached cells were Fe reducers metabolizing by electron shuttling. With this mechanism, they were able to explain how Fe reducers could reduce nearly 100% of all  $\text{Fe}^{3+}$  in clay grains. With epifluorescence microscopy of F420 [an autofluorescing coenzyme that is accepted as a unique signature of methanogens (12)], they determined that  $\approx 2.4\%$  of the cells in the basal ice were methanogens.

## Need for a Third Microbial Habitat in Ice

Although the veins and mineral surfaces provide habitats for many microbes, observations suggest that these are unlikely to be the only locations where life endures in glacial ice. Numerous papers report the identification of microbes of diverse taxa, including nonextremophiles in ice (13–22). Eukarya up to  $\approx 10^2$   $\mu$ m in size, some of which are viable, have been found in glacial ice (23–27). Because glacial ice is coldest at or near the top, the veins formed during grain growth and recrystallization will be the smallest in diameter and have the highest ionic concentration there. One might expect then that only extremophiles and the smallest microbes would be able to survive this harsh environment.

Baker *et al.* (28) and Barnes and Wolff (29) used scanning electron microscopy with energy-dispersive x-ray spectroscopy to map the location and composition of soluble impurities in glacial ice. Barnes and Wolff detected veins only at depths where the bulk concentration of ions (mainly sulfate) was greater than  $\approx 1.6$   $\mu\text{M}$  and where the bulk ice was acidic. They suggested that vein networks do not form unless the acidic impurities are

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The authors declare no conflict of interest.

Abbreviations: GISP2, Greenland Ice Sheet Project 2; WAIS, West Antarctic Ice Sheet.

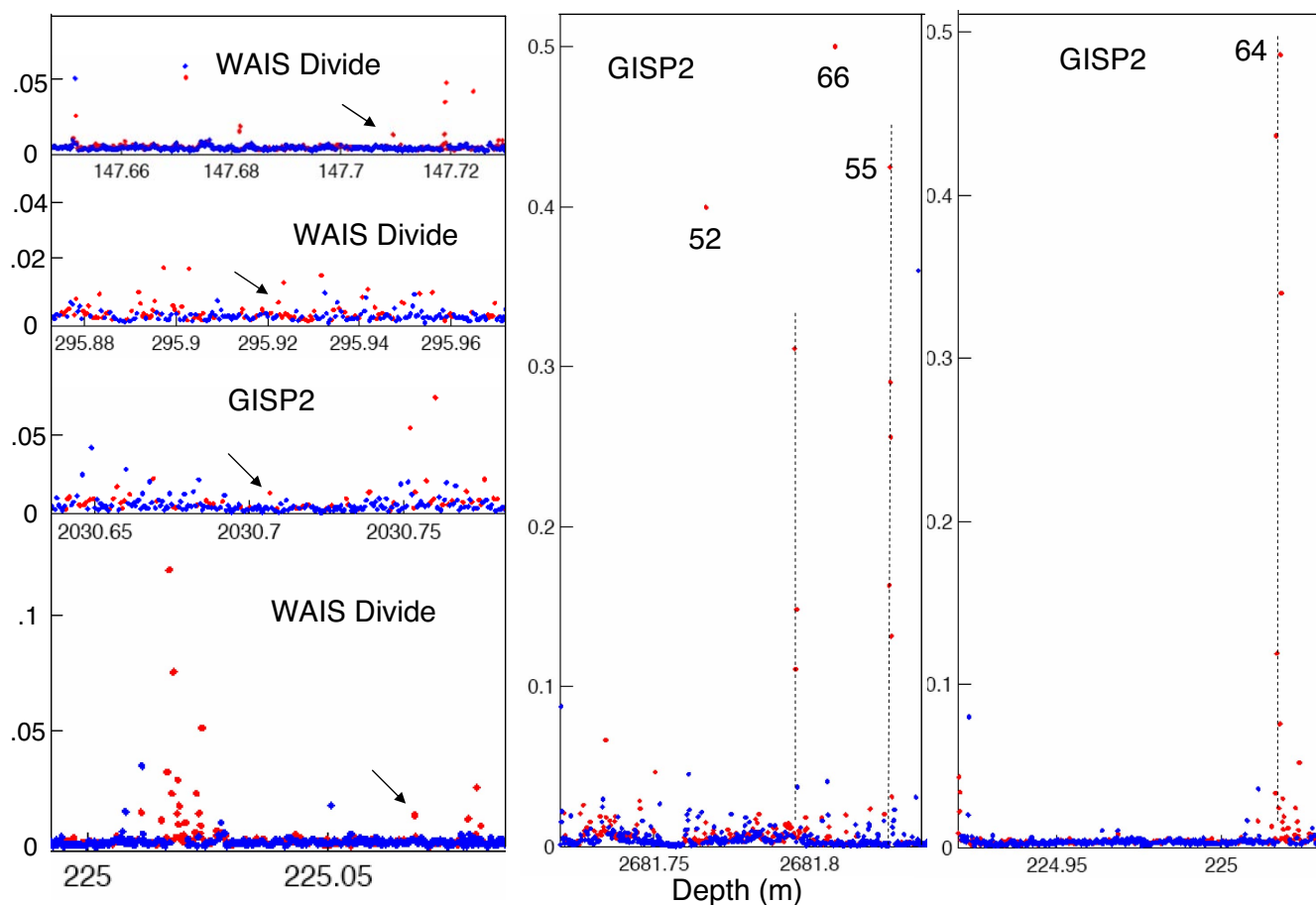
\*To whom correspondence should be addressed. E-mail: bprice@berkeley.edu.

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**Fig. 1.** Examples of protein fluorescence intensity vs. depth obtained with the TUCS in scans of ice cores from WAIS Divide (Antarctica) and GISP2 (Greenland). Red points have spectra consistent with protein fluorescence.

Consider metabolism (1) at  $-10^{\circ}\text{C}$  by methanogens at a rate  $\mu(-10^{\circ}) = 2 \times 10^{-6} \text{ g C} \cdot (\text{g C})^{-1} \cdot \text{yr}^{-1}$  (10) at a 3,000-m depth in GISP2 ice. For a typical 38-fg cell (5), this corresponds to a consumption of only 1,900 C atoms per year. As 3,000 m is far below the clathrate transition zone, the equilibrium concentration is determined by the clathrate dissociation pressure (6.2 MPa at  $-31^{\circ}\text{C}$ ). From SI Table 1,  $D(\text{CO}_2) = 10^{-14} \text{ m}^2 \cdot \text{s}^{-1}$ ,  $C_e(\text{CO}_2) = 8 \times 10^{-10} \text{ moles} \cdot \text{mole}_{\text{ice}}^{-1} \cdot \text{MPa}^{-1} = 5 \times 10^{-9} \text{ moles} \cdot \text{mole}_{\text{ice}}^{-1}$ , and  $D(\text{H}_2) = 1 \times 10^{-8} \text{ m}^2 \cdot \text{s}^{-1}$ . For hydrogen, we note that air containing  $\approx 550$  ppb of  $\text{H}_2$  has too low a concentration to reach the equilibrium with bubbles described in SI Table 2, meaning that essentially all of the  $\text{H}_2$  available in air becomes dissolved in the ice. Given that glacial ice at the elevation of GISP2 forms with  $\approx 9\%$  air by volume (38), this gives  $C(\text{H}_2) = 4 \times 10^{-11}$ . From SI Table 1 we see that the products  $\text{H}_2\text{O}$  and  $\text{CH}_4$  will diffuse out of the shell at rates comparable to that of  $\text{CO}_2$ , so that the waste products do not build up around the cell. From these values we see that methane production is limited by the abundance of  $\text{CO}_2$  and from Eq. 4 that cells with a diameter as large as  $76 \mu\text{m}$  will receive sufficient  $\text{CO}_2$  to sustain survival metabolism. Hence, this accommodates archaea, bacteria, and even quite large eukaryotes.

At a depth of 100 m (1 MPa) where the temperature is much lower ( $-32^{\circ}\text{C}$ ), the metabolic rate is  $\mu(-32^{\circ}) = 5 \times 10^{-8} \text{ g C} \cdot (\text{g C})^{-1} \cdot \text{yr}^{-1}$  (10) or a turnover rate of only 48 carbon atoms per year for the typical cell. We get from SI Tables 1 and 2 that  $D(\text{CO}_2) = 10^{-15} \text{ m}^2 \cdot \text{s}^{-1}$  and  $C_e(\text{CO}_2) = 8 \times 10^{-10} \text{ moles} \cdot \text{mole}_{\text{ice}}^{-1}$ , which accommodates cells up to  $60 \mu\text{m}$  in

diameter. In this example, the lower diffusion rate at  $-32^{\circ}$  is largely compensated for by the lower metabolic requirements.

For other taxa, metabolism can proceed at the rate given by Price and Sowers (10), provided diffusion is rapid enough to maintain the supply of reactants. Examples include aerobic respiration (e.g.,  $\text{CH}_4 + 2\text{O}_2 \rightarrow 2\text{CO}_2 + 2\text{H}_2\text{O}$ ), nitrogen fixation ( $\text{N}_2 + 6\text{H}^+ + 6\text{e}^- \rightarrow 2\text{NH}_3$ ), and carbon monoxide oxidation (e.g.,  $\text{O}_2 + \text{CO} \rightarrow \text{CO}_2$ ), all of which involve small molecules with values of  $D$  not smaller than  $D(\text{CO}_2)$  (SI Table 1).  $\text{N}_2$ ,  $\text{O}_2$ ,  $\text{CH}_4$ , and  $\text{CO}$  are relatively abundant in the atmosphere:  $565 \pm 200$  ppbV for  $\text{CH}_4$  (39) and  $100 \pm 50$  ppbV for  $\text{CO}$  (40). All of them form clathrate hydrates with known phase diagrams, and their solubilities in ice as a function of temperature can be estimated from their dissociation pressures (41–43). We conclude that the above metabolic processes should not be restricted by the values of  $D$  or  $C_e$ .

As a final example, we consider aerobic consumption of  $\text{CH}_4$  at 3,000-m depth and  $-10^{\circ}\text{C}$ . The maximum size cell that can respire aerobically at  $2 \times 10^{-6} \text{ g C} \cdot (\text{g C})^{-1} \cdot \text{yr}^{-1}$  via a reaction such as  $\text{CH}_4 + 2\text{O}_2 \rightarrow \text{CO}_2 + 2\text{H}_2\text{O}$  can be estimated with  $D$  assumed to be  $10^{-14} \text{ m}^2 \cdot \text{s}^{-1}$  and  $C_e$  for methane assumed to be  $4 \times 10^{-11} \text{ moles} \cdot \text{mole}_{\text{ice}}^{-1}$  (limited by atmospheric abundance). This allows a spherical cell with diameter as large as  $5 \mu\text{m}$  to metabolize at a survival rate at  $-10^{\circ}\text{C}$ . Even if  $D$  were as low as  $10^{-15} \text{ m}^2 \cdot \text{s}^{-1}$ , a concentration of methane near a cell  $1.6 \mu\text{m}$  in size could sustain survival metabolism.

### Sustainability of Intraglacial Metabolism

The last factor to consider is whether metabolism by diffusion through glacial ice can be sustained for the entire age of the ice.



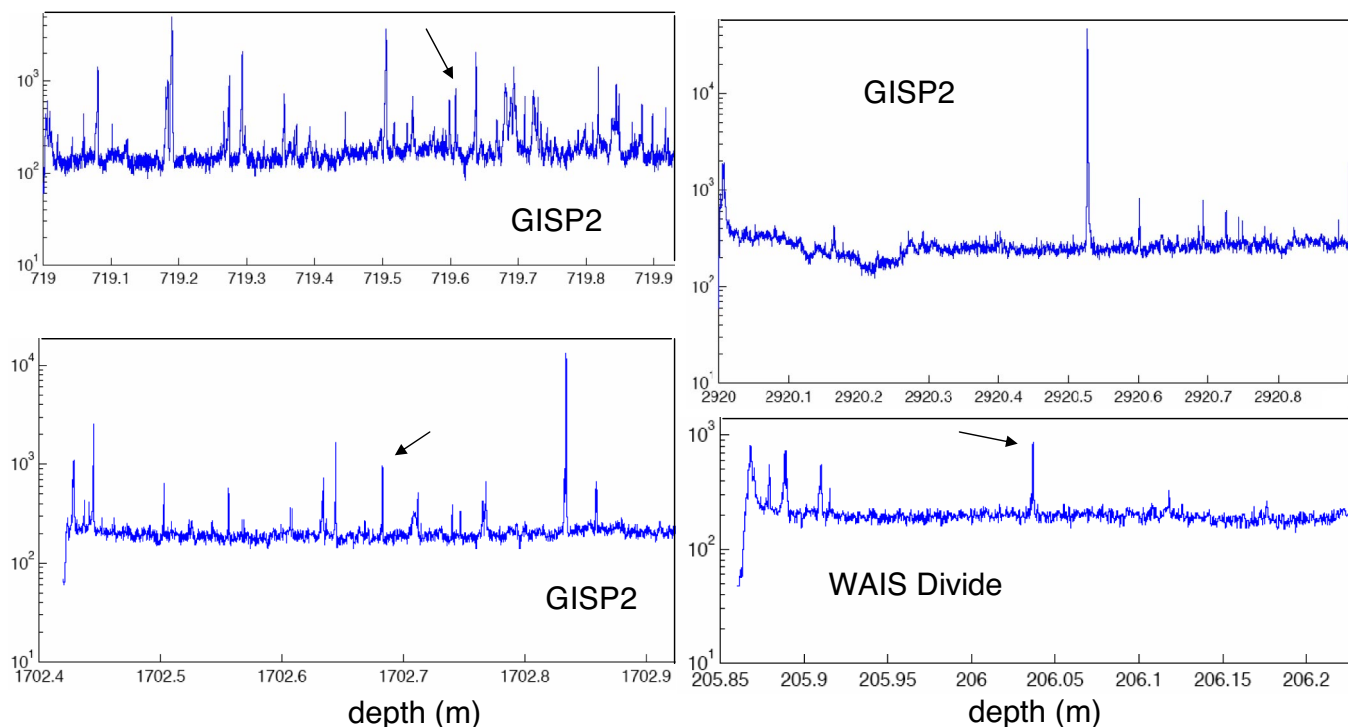


Fig. 2. Examples of F420 fluorescence intensity vs. depth obtained with the F420-fluorimeter.

For a given metabolic rate and concentration of microbes, we can approximate the time required to deplete the reactants as  $2C_e \rho_{\text{ice}} MW_{\text{carbon}} [n_{\text{cell}} m_{\text{cell}} \mu(T) A MW_{\text{ice}}]^{-1}$ , where  $n_{\text{cell}}$  is the number density of cells,  $m_{\text{cell}}$  is the mean mass per cell, and  $A$  is the number of moles of reactant per mole of carbon metabolized. For a lifetime  $\tau$ , this can be recast to note that the sustainable concentration of cells is approximately

$$n_{\text{cell}} \approx \left( 1.2 \frac{\text{g}}{\text{cm}^3} \right) \frac{C_e}{A \mu(T) m_{\text{cell}} \tau}. \quad [5]$$

For  $\tau \approx 100$  kyr, cells of mass 38 fg, a metabolic rate of  $10^{-6}$  g C (g C) $^{-1}$  yr $^{-1}$  at  $-10^\circ\text{C}$  (10), a concentration of  $\approx 4 \times 10^{-11}$  moles mole $_{\text{ice}}^{-1}$  (the case for hydrogen and methane), and  $A = 4$  from reaction 1, we find that the supportable population of methanogenic archaea by diffusion to isolated cells is  $\approx 2,000$  cm $^{-3}$ . An additional  $\approx 8,000$  small cells per cm $^3$  are supportable via aerobic methane consumption. These estimates are conservative in that they ignore the period spent at lower temperature, which would increase the sustainable population size, due to the lower metabolic rate.

### Fluorimetric Evidence for Isolated Single Microbes

We used two new scanning fluorimeters to search for evidence for the existence of isolated microbes in ice cores stored at  $-36^\circ\text{C}$  at NICL. To map microbial cells via their protein autofluorescence (dominated by protein-bound tryptophan), we used a Photon Systems (Covina, California) TUCS (Targeted UV Chemical Sensor) fluorimeter with a 224-nm laser source. The cylindrical volume sampled has a radius  $\approx 100$   $\mu\text{m}$  and depth  $\approx 0.5$  cm governed by the laser beam diameter, depth of focus, and acceptance of the six phototubes. To map the coenzyme F420 in methanogens, we used a compact 404-nm laser fluorimeter of our own design, which samples a volume with radius  $\approx 350$   $\mu\text{m}$  and depth 0.5 cm. The two instruments take readings at  $\approx 300$ - $\mu\text{m}$  depth intervals.

Fig. 1 shows protein fluorescence as a function of depth in several regions of West Antarctic Ice Sheet (WAIS) Divide and GISP2 ice cores. Red points have spectra consistent with protein fluorescence; blue points have a different spectral shape. Four of the high points are labeled by numbers indicating the number of cells with an average mass of 38 fg within an illuminated spot.

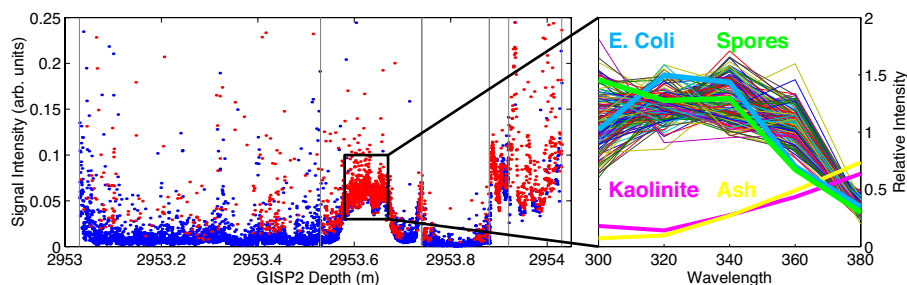
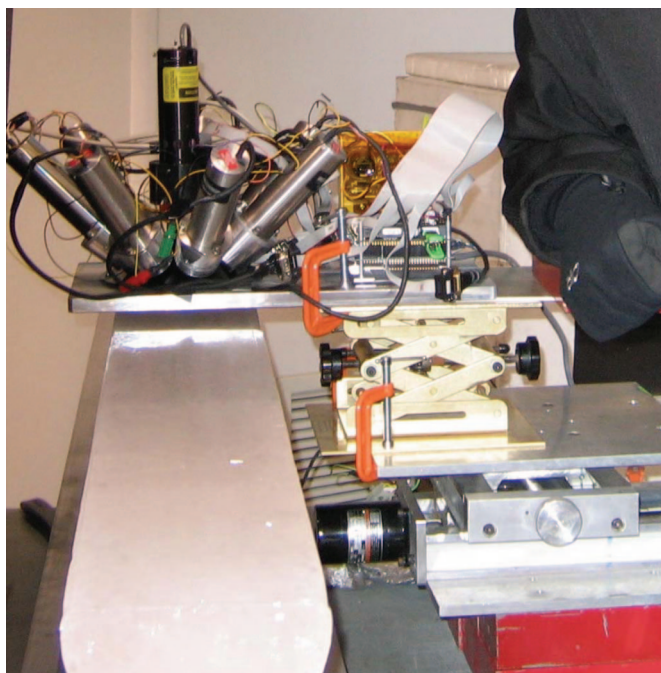


Fig. 3. Calibration of TUCS to microbes in ice. (Left) Intensity of fluorescence in the TUCS along a 1-m section of GISP2 ice. Points in red indicate protein fluorescence; points in blue have spectra inconsistent with proteins and are likely inorganic. (Right) Spectra for measurements in the boxed region compared with spectra for lab specimens for bacteria and minerals.



**Fig. 4.** F420 fluorimeter with 404-nm laser (vertical black cylinder) surrounded by seven photon counters covered by band-pass filters, fixed at an adjustable height above an ice core on a translation stage.

The high fluorescence intensity in the spot suggests that the laser beam passed through a vein containing a high concentration of microbes. At three depths where several points with high intensities fall close to a vertical dotted line, the laser beam may have traversed a vein at a small angle, resulting in excitation of protein fluorescence several times. Several points marked with an arrow indicate signals with intensity consistent with fluorescence of single microbes. Points with higher and lower intensity are likely due to microbes with higher and lower protein concentrations, surviving in the ice lattice as isolated cells. Such points provide our best evidence that isolated cells not associated with veins exist in glacial ice.

Fig. 2 shows the F420 fluorescence intensities in several regions of WAIS Divide and GISP2 ice cores. Arrows indicate several spikes with intensity corresponding to that of a single 38-fg methanogenic cell.

## Discussion

We have developed a quantitative underpinning for a habitat for isolated microbes in solid ice and presented evidence, via scanning fluorimetry, that microbes occupy this habitat. We showed that at all depths there is an adequate concentration of small molecules of atmospheric origin dissolved in the ice lattice and available for metabolism by isolated microbes. At shallow depths, small air bubbles shrink by diffusion of gases from their surfaces to larger bubbles, driven by the Gibbs–Thomson effect. At depths where all air bubbles have converted to air-hydrate crystals, their rate of change of size with depth (i.e., time) provides an adequate supply of dissolved gases to meet the needs of the very slow metabolism expected of microbes frozen into the ice, even for a time  $>10^5$  yr.

Using our scanning fluorimeters, we mapped microbial distributions and methanogen distributions at 300- $\mu$ m depth intervals in a number of ice cores. The data suggest that microbes in both Greenland and Antarctic ice have a broad distribution of cell sizes. The results support our calculations that microbes up

to at least 5  $\mu$ m in diameter survive in the ice lattice, even if far from veins and mineral surfaces.

The proposed habitat avoids problems associated with the vein habitat, including the toxicity of reactants in veins, their narrow width, their lack of continuity throughout glacial ice, and the presence or absence of free oxygen in veins. With an equilibrium concentration of  $2.4 \times 10^{-7}$  mole fraction  $O_2$  dissolved in the lattice, both aerobes and anaerobes should survive as isolated cells in the lattice. Because this might not be obvious, we elaborate: An isolated aerobe can respire by diffusion of  $O_2$  and  $CH_4$  molecules from the ice lattice to its cell membrane. Low levels of the enzymes catalase and superoxide dismutase have been detected in methanogens (44, 45), and these may serve as adequate protection against the toxic products of oxygen reduction at that low concentration of  $O_2$ .

One might ask how nonpsychrophiles can survive when incorporated into the ice lattice at an ambient temperature as low as  $-55^\circ\text{C}$  (in East Antarctic ice) and at a hydrostatic pressure that may exceed  $\approx 30$  MPa at the bottom of a growing ice sheet. Smith *et al.* (46) showed that after 2 months of exposure in the Southern Ocean, several taxa of mesophiles including *Escherichia coli* were able to grow at  $-1.8^\circ\text{C}$  and 34.5 ppt salinity and were no longer able to form colonies at  $37^\circ\text{C}$  (their previous optimal growth temperature). Sharma *et al.* (47) discovered that *E. coli* and *Shewanella oneidensis* remain viable and motile in liquid veins in ice for more than 1 month at pressures up to 1,600 MPa. These and other experiments show that nonpsychrophiles deposited onto a growing ice cap may adapt to both low temperatures and high pressures.

The requirement that the molecules have sufficiently high diffusivity and equilibrium concentration in solid ice to maintain metabolism for more than  $10^5$  yr rules out polyatomic molecules with more than about five atoms: Methane will work but acetate has too low a diffusivity. One might ask whether microbes that prefer large molecules for their metabolism and that grow best in the presence of catalysts can live isolated in ice with access only to small molecules. Faced with the necessity of doing without, such microbes may express genes that allow them to use an alternative source such as methane + oxygen.

We have shown that values of  $D \approx 10^{-15}$  to  $10^{-14}$   $\text{m}^2\text{s}^{-1}$  are sufficiently high to sustain metabolism at all depths in glacial ice. Conversely, using the expression  $x \approx (Dt)^{1/2}$  to estimate diffusion distance in time  $t$ , and values of  $D$  in SI Table 1, we see that initially sharp profiles in ice of gases used in studies of climate change ( $CO_2$ ,  $CH_4$ ,  $N_2O$ , HDO, and  $H_2^{18}O$ ) will be blurred by no more than a few cm on a timescale of  $10^5$  yr.

It is worth reiterating that in solid ice, microbial cells survive at a metabolic rate just great enough to repair macromolecular damage, which is  $\approx 6$  orders of magnitude lower than that necessary for exponential growth and mobility (10). Thus, the problem of carving out room for cell division in the ice does not arise.

It may be possible to exploit the ability of the TUCS scanning fluorimeter to localize single cells within its active volume of 160 nl. Westphal *et al.* (48) have developed a method to extract micrometer-sized interplanetary dust grains from an aerogel target on the National Aeronautics and Space Administration Stardust mission by using a “nanomanipulator” to cut out a small portion of the aerogel containing the grain. Such a device could be used in a cold room to cut out the volume of ice associated with a given fluorimeter signal. Then, under sterile conditions, the ice could be melted, and a single-cell genetic analysis could be done (49) on one or more cells located with the fluorimeter.

## Materials and Methods

We assume that intensities of protein and F420 fluorescence per cell are independent of time for living microbes in ice, on the

grounds that their survival metabolism is sufficient to repair macromolecular damage (10).

With the TUCS we did ground truth calibrations at depths 2,953.6, 2,953.95, 3,000, 3,018, and 3,035.88 m in GISP2 by comparing fluorescence intensity,  $I_{\text{TUCS}}$ , with counts of stained cells (50). We obtained the following conversion factors: Assuming an average cell mass of 38 fg (5), the background level for the TUCS fluorescence signal corresponds to  $\approx 0.5$  to  $\approx 1.4$  cell; the number of cells detected in the active cylindrical volume of depth 0.5 cm is  $243 \times I_{\text{TUCS}}$ ; and the concentration is  $C_{\text{cells}} = 1.52 \times 10^6 \text{ cm}^{-3} \times I_{\text{TUCS}}$ . Fig. 3 (Right) shows five-channel fluorescence spectra of *E. coli* (green curve), *Bacillus* spores (blue), kaolinite grains (pink), and volcanic ash (yellow) measured with the TUCS. Protein fluorescence is characterized by having the highest intensity at 320 and 340 nm. Fluorescence of mineral grains is several orders of magnitude weaker than that of microbial cells and usually has maximum intensity at wavelengths  $> 380$  nm. The curves for kaolinite and ash are scaled up by a factor 100 for visibility. Fig. 3 (Left) shows TUCS intensity at 300- $\mu\text{m}$  depth intervals at a depth of 2,953.9 m in a 1-m-long GISP2 ice core chosen for calibration purposes because Tung *et al.* (50) had found a huge excess of microbial cells including methanogens in a 20-ml sample from that depth. The full spectra for every point are stored on disk. The points in red are for spectra with shapes that correspond to protein fluorescence. The

points in blue have different emission spectra, probably due to mineral dust grains in the ice.

Fig. 4 shows our F420 fluorimeter in position to scan an ice core. It uses a 404-nm laser to excite F420 fluorescence in a volume  $1.9 \times 10^{-3} \text{ cm}^3$ . To calibrate this instrument, we did scans along the same regions of GISP2 ice cores with very high microbial concentrations where Tung *et al.* (50) counted methanogens with epifluorescence microscopy. We found that the background level corresponds to 0.15 to 0.3 methanogenic cell of mass 38 fg. The number of methanogens detected in the active volume is  $1.94 \times 10^{-3} \times I_{\text{F420}}$ , and the concentration is  $C_{\text{meth}} = 1.02 \text{ cm}^{-3} \times I_{\text{F420}}$ . We note that F420 fluoresces only if it is in an oxidized state and if its pH is greater than 6 (51). During metabolism, 80% of F420 is in the oxidized state (52). When the cell stops metabolizing, the amount of oxidized F420 decreases; thus, F420 fluorescence is an indicator of active methanogenesis. The concentration of F420 in a living methanogen depends not only on its cell mass but also to some extent on its species (53).

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